



# Optics Letters

## On-chip microdisk laser on Yb<sup>3+</sup>-doped thin-film lithium niobate

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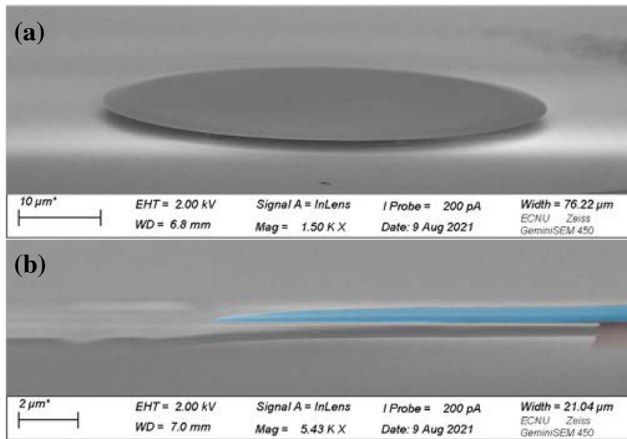
**We demonstrate an on-chip Yb<sup>3+</sup>-doped lithium niobate (LN) microdisk laser. The intrinsic quality factors of the fabricated Yb<sup>3+</sup>-doped LN microdisk resonator are measured up to  $3.79 \times 10^5$  at a 976 nm wavelength and  $1.1 \times 10^6$  at a 1514 nm wavelength. The multi-mode laser emissions are obtained in a band from 1020 to 1070 nm pumped by a 984 nm laser and with the low threshold of 103  $\mu$ W, resulting in a slope efficiency of 0.53% at room temperature. Furthermore, both the second-harmonic frequency of pump light and the sum frequency of the pump light and laser emissions are generated in the on-chip Yb<sup>3+</sup>-doped LN microdisk, benefiting from the strong  $\chi^{(2)}$  nonlinearity of LN. These microdisk lasers are expected to contribute to the high-density integration of a lithium niobate on insulator-based photonic chip. © 2021 Optical Society of America**

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Featured with a long lifetime, high quantum efficiency, broad gain bandwidth, and easy incorporation, the ytterbium ion (Yb<sup>3+</sup>) has been successfully used as a doping element in laser media, specifically in solid-state lasers and double-clad fiber lasers with high-efficiency, high-power, and mode-locked outputs [1–4]. As a result, the Yb<sup>3+</sup>-doped laser has become the workhorse of high-power laser systems prevalently adopted in industry and defense [5]. The on-chip microlaser offers the intriguing properties of ultra-compact design, low cost, and high performance, so as to be considered as scalable light sources for a broad range of applications, including high-speed

optical communications, sensing, and quantum technologies [6–8]. The on-chip Yb<sup>3+</sup>-doped microlasers based on microresonators have been previously demonstrated on the silica and aluminum oxide substrates, presenting great utilities for biosensing applications, and integrated photonic chips [9–13]. On the other hand, the lithium niobate (LN) crystal provides an attractive option to be the host material for rare earth ions, owing to their broad optical transparency window (0.35–5  $\mu$ m), low optical loss ( $\sim 0.1\%$ /cm at 1064 nm), high refractive index ( $\sim 2.2$ ), high nonlinear coefficient ( $d_{33} = -27.2 \pm 2.7$  pm/V@ $\lambda = 1.064$   $\mu$ m), and large electro-optical effect ( $r_{33} = 30.9$  pm/V@ $\lambda = 632.8$  nm) [14]. Furthermore, recent developments and commercialization of the wafer-scale, high-quality, thin-film lithium niobate on insulator (TFLNOI) have created new avenues for the realization of integrated on-chip photonic devices with unprecedented performance [15–17]. The on-chip microlasers and amplifiers based on the Er<sup>3+</sup>-doped TFLNOI have been demonstrated recently, showing great promise for high-performance scalable light sources on integrated photonics [18–27]. Though the on-chip Yb<sup>3+</sup>-implanted photonic resonators based on the TFLNOI has already been fabricated recently, the on-chip microlasers based on the Yb<sup>3+</sup>-doped TFLNOI have not been demonstrated due to the low Yb<sup>3+</sup> ion concentration and surface shallow ion implantation [28].

In this Letter, we demonstrate a monolithic on-chip Yb<sup>3+</sup>-doped LN microdisk laser. Pump light injection and laser emissions out-coupling are achieved with a tapered fiber. We have measured the intrinsic quality ( $Q$ ) factors up to  $3.56 \times 10^5$  at a 976 nm wavelength and  $1.1 \times 10^6$  at a 1514 nm wavelength in the fabricated Yb<sup>3+</sup>-doped LN microdisk. We observe the

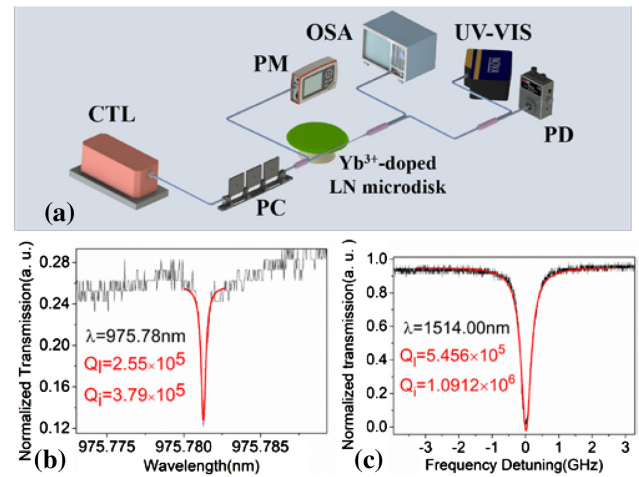


**Fig. 1.** (a) SEM image of the fabricated on-chip  $\text{Yb}^{3+}$ -doped LN microdisk. (b) Side view false color SEM, where the  $\text{Yb}^{3+}$ -doped LN microdisk is shown in blue and the silica pillars are shown in brown.

multi-mode laser emissions in a band from 1020 to 1070 nm pumped at the wavelength around 984 nm. The threshold of the  $\text{Yb}^{3+}$ -doped LN microdisk laser has been measured to be as low as 103  $\mu\text{W}$  with the slope efficiency of 0.53% at room temperature. Thanks to the strong  $\chi^{(2)}$  nonlinearity of LN, the second-harmonic frequency of pump light and the sum frequency of the pump light and laser lines are also generated in the  $\text{Yb}^{3+}$ -doped LN microdisk. This unique property of the  $\text{Yb}^{3+}$ -doped LN microdisk laser has shown great potential in wideband tunable laser emissions from visible to infrared (IR). These microdisk lasers are expected to contribute to the high-density integration of LNOI-based photonic chip.

The on-chip  $\text{Yb}^{3+}$ -doped LN microdisk was fabricated on a 600 nm thickness Z-cut LN thin film at an  $\text{Yb}^{3+}$ -doping concentration of 0.5 mol. %. The  $\text{Yb}^{3+}$ -doped LN microdisks are fabricated by photolithography-assisted chemomechanical etching; the details about the LN microdisk fabrication can be found in our previous work [29,30]. Figure 1(a) shows the scanning electron microscope (SEM) image of the fabricated  $\text{Yb}^{3+}$ -doped LN microdisk. The  $\text{Yb}^{3+}$ -doped LN microdisk has a diameter of about 53  $\mu\text{m}$ . Figure 1(b) shows the side view false color SEM, where the LN microdisk is shown in blue and the silica pillars are shown in brown. The thickness of the  $\text{Yb}^{3+}$ -doped LN disk is approximately 572 nm, and the sidewall angle is approximately  $9^\circ$ . The fabricated LN disk is slightly thinner than the original thickness of the LN thin film due to the second chemomechanical polish step in the microdisk fabrication process. The  $\text{Yb}^{3+}$ -doped LN microdisks are supported by silica pillars.

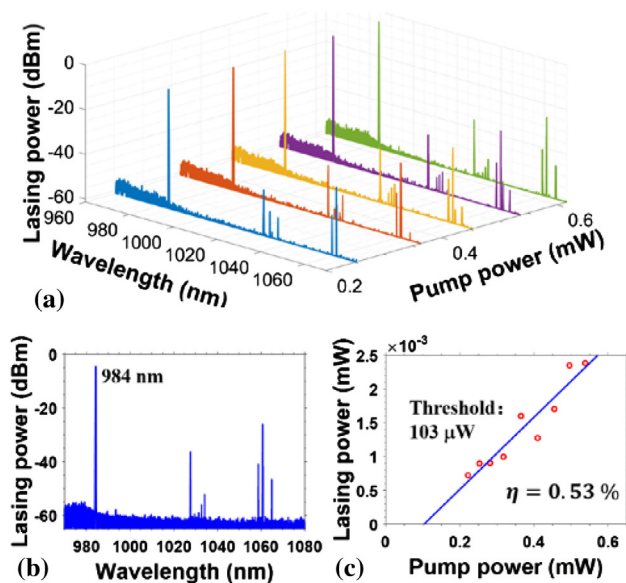
The experimental setup to characterize the laser emission performance of the  $\text{Yb}^{3+}$ -doped LN microdisk is illustrated in Fig. 2(a). Here continuously tunable lasers of wavelength settings at around 970 and 1550 nm (TLB 6712 and 6728, New Focus Inc.) were used for characterizing the  $Q$  factors of the microdisk around and away from the pump laser wavelength, respectively, as the continuously tunable laser of a 970 nm wavelength was used to pump the  $\text{Yb}^{3+}$ -doped LN microdisk. A fiber taper with a diameter of around 1  $\mu\text{m}$  was used to couple the pump into the  $\text{Yb}^{3+}$ -doped LN microdisk as well as to collect the generated laser emissions from the LN microdisk. The



**Fig. 2.** (a) Experimental setup to characterize the on-chip  $\text{Yb}^{3+}$ -doped LN microdisk laser. (b), (c) Transmission spectra (black dots) and Lorentz fitting (red curves) showing that the  $\text{Yb}^{3+}$ -doped LN microdisk has intrinsic  $Q$  factors of  $3.79 \times 10^5$  and  $1.09 \times 10^6$  at wavelengths of 975.78 and 1514 nm, separately. (CTL, continuously tunable laser; PC, polarization controller; PM, power meter; OSA, optical spectrum analyzer; UV-VIS, ultraviolet-visible spectrometer; PD, photodetector).

polarization of the pump light was adjusted by a polarization controller (FPC561, Thorlabs Inc.). The spectra of the output beam were measured by an optical spectrum analyzer (OSA: AQ6370D, YOKOGAWA Inc.). In addition, the ultraviolet-visible spectra of the output are analyzed by an ultraviolet-visible spectrometer (NOVA, Shanghai Ideaoptics Corp., Ltd.). The power of the input pump laser was monitored by a power meter (PM100D, Thorlabs Inc.). A photodetector (New focus 1811, Newport Inc.) was placed in the fiber path for the  $Q$  factor measurements of resonant modes in the microdisk. Figures 2(b) and 2(c) show the transmission spectra of the  $\text{Yb}^{3+}$ -doped microdisk at the wavelengths of 975.78 and 1514 nm, respectively. The Lorentz fitting (red curves) shows that the  $\text{Yb}^{3+}$ -doped microdisk has intrinsic  $Q$  factors of  $3.79 \times 10^5$  and  $1.09 \times 10^6$  (loaded  $Q$  factors of  $2.55 \times 10^5$  and  $5.46 \times 10^5$ ) at the wavelengths of 975.78 and 1514 nm, respectively. The  $Q$  factor around a 790 nm wavelength is lower than that around the 1550 nm wavelength because of the higher intrinsic absorption of  $\text{Yb}^{3+}$  ions around a 970 nm wavelength. Additionally, the  $Q$  factor of laser emissions (1000–1100 nm) are close to the  $Q$  factor of 1510 nm due to their similar absorption coefficients.

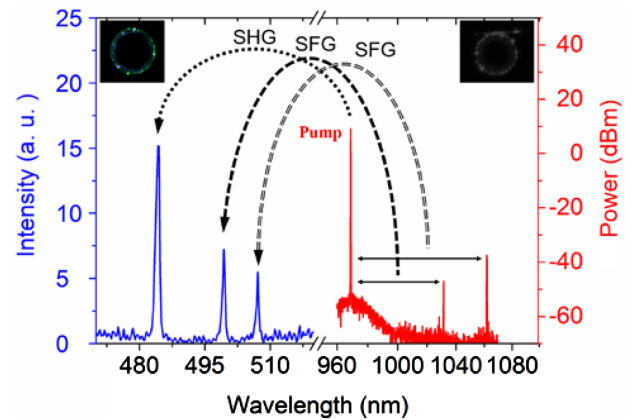
The  $\text{Yb}^{3+}$ -doped LN microdisk laser performance are characterized at a pump wavelength of 984 nm for the sake of the highest laser emissions as observed in the  $\text{Yb}^{3+}$ -doped LN microdisk, and the laser emissions can be expected to span a wavelength range from  $\sim 1020$  to 1070 nm. The pump and laser wavelengths correspond to the transitions between the ground-state manifold  $^2F_{7/2}$  and the excited-state manifold  $^2F_{5/2}$  of the ytterbium ion. Figure 3(a) shows the spectra of the  $\text{Yb}^{3+}$ -doped LN microdisk laser at the different pump powers. Figure 3(b) shows the multi-mode lasing at the wavelengths around 1030 and 1060 nm observed under the pump power at 617  $\mu\text{W}$ . The 1030 and 1060 nm emissions are dominant in the  $\text{Yb}^{3+}$ -doped LN microdisk due to the energy levels of  $\text{Yb}^{3+}$  ions in LN [28]. The mode wavelength interval is consistent with



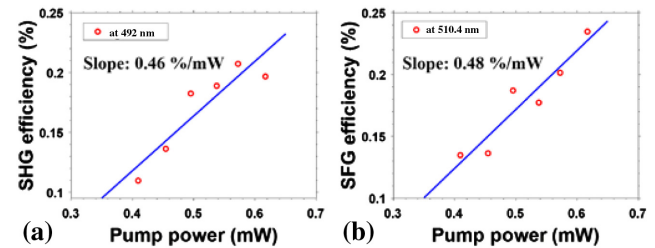
**Fig. 3.** Lasing characterization of the  $\text{Yb}^{3+}$ -doped LN microdisk under a pump of a 984 nm wavelength. (a) Evolution of the lasing modes under different pump powers. (b) Multi-mode lasing under the pump power at 617  $\mu\text{W}$ . (c)  $\text{Yb}^{3+}$ -doped LN microdisk lasing power with the increasing pump power. The blue line is the linear fitting of the lasing power. A lasing threshold can be derived at 103  $\mu\text{W}$ , and the slope efficient of the lasing is around 0.53%.

the free spectral range of the LN microdisk. The dependence of the lasing power of the  $\text{Yb}^{3+}$ -doped LN microdisk laser on the injected pump power is illustrated in Fig. 3(c). By linear fitting, the threshold of the lasing mode is found to be around 103  $\mu\text{W}$ , which depends on the coupling condition between the microdisk and fiber taper as well as the thermal condition of the microdisk. The higher laser threshold compared with the  $\text{Yb}^{3+}$ -doped silica microsphere laser due to the lower  $Q$  factor of the  $\text{Yb}^{3+}$ -doped LN microdisk at the pump wavelength [10]. Furthermore, the slope efficiency is derived as 0.53%, which is much higher than the previously demonstrated  $\text{Er}^{3+}$ -doped LN microdisk due to the higher quantum efficiency of the ytterbium ions [18–20]. The higher quantum efficiency also leads to a lower pump threshold, as shown in Fig. 3(c).

Thanks to the strong  $\chi^{(2)}$  nonlinearity of LN, the second harmonic of the pump light and the sum frequency between the pump laser and the induced laser emissions are also generated directly in the  $\text{Yb}^{3+}$ -doped LN microdisk, as shown in Fig. 4. When tuning the pump laser wavelength around 969 nm, there are two lasing lines appearing at 1031.5 and 1061.8 nm, respectively. Meanwhile, three new emissions at 484.5, 499.7, and 507 nm are also generated from the microdisk as detected by the fiber spectrometer in the visible spectral region, as shown in the left inset in Fig. 4. As the arc arrows clearly denote in Fig. 4, the emission line at 484.5 nm is generated through the second-harmonic generation (SHG) of the pump light, and the other two lines arise from the sum-frequency generation (SFG) between the pump laser and the lasing lines. The SHGs of the lasing lines are not found in the spectrum, because the power of the lasing lines is not high enough to generate the second harmonic in the  $\text{Yb}^{3+}$ -doped LN microdisk.



**Fig. 4.** Intra-cavity frequency conversions from the pump laser and the  $\text{Yb}^{3+}$ -doped LN microdisk laser to generate a new frequency component at a visible wavelength through SHG and SFG. The insets show the photograph of the microdisk at the visible (left) and IR (right) regions.



**Fig. 5.** (a) SHG and (b) SFG conversion efficiency as a function of the pump power.

Furthermore, by optimizing the pump laser wavelength around 984 nm, a laser emission brighter than that observed with the pump wavelength around 969 nm and a stronger second-order nonlinear effect has been observed. We observe an emission line at 492 nm that can be attributed to the SHG of the pump light, and another emission line at 510.4 nm originates from the SFG between the pump laser at 984 nm and the laser line at 1060 nm. It was indicated in Figs. 5(a) and 5(b) that the measured SHG and SFG conversion efficiencies (red dots) increase linearly with the input pump power. Through the linear fitting (blue line), the normalized SHG and SFG conversion efficiencies are determined to be 0.46 and 0.48 %/mW, respectively.

In summary, we have demonstrated an on-chip  $\text{Yb}^{3+}$ -doped LN microdisk laser. We have measured the intrinsic  $Q$  factors of  $3.56 \times 10^5$  at 976 nm and  $1.1 \times 10^6$  at 1514 nm in the fabricated  $\text{Yb}^{3+}$ -doped LN microdisk. We observe the multi-mode laser emissions with a slope efficiency of 0.53% in the band from 1020 to 1070 nm when pumped at 984 nm. The  $\text{Yb}^{3+}$ -doped LN microdisk lasers feature a low pump threshold of 103  $\mu\text{W}$  at room temperature. Thanks to the strong  $\chi^{(2)}$  nonlinearity of LN, both intracavity SHG and SFG processes are observed in the  $\text{Yb}^{3+}$ -doped LN microdisk. The on-chip  $\text{Yb}^{3+}$ -doped LN microdisk laser offers great potential for biosensing applications and integrated photonic chips. However, the  $\text{Yb}^{3+}$ -doped LN microdisks are unstable for generating a laser and SHG/SFG now due to the opto-mechanical and opto-thermal effect. We

next plan to fabricate the more stable microring structure on  $\text{Yb}^{3+}$ -doped LN film. We can also bring periodic poling in the  $\text{Yb}^{3+}$ -doped LN microresonator to boost SHG and SFG efficiency in the future [31,32].

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**Disclosures.** The authors declare no conflicts of interest.

**Data Availability.** Data underlying the results presented in this Letter are not publicly available at this time but may be obtained from the authors upon reasonable request.

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