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1 **Enhancing directivity of terahertz photoconductive antennas using** 2 3 4 5 6 **spoof surface plasmon structure**

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24
25 **Keywords:** metamaterials, spoof surface plasmons; terahertz

26 27 28 29 **Abstract**

30
31
32 Terahertz photoconductive antenna (PCA) is an important device for
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34 generating ultrabroadband terahertz radiations, being applicable in various
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36 scenarios. However, the metallic electrodes in PCAs, a pair of coplanar
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38 strip lines (CSL), always produce horizontal electrode modes in a broad
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40 THz band, thus resulting in low directivity in the vertical direction. Here,
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42 we introduce spoof surface plasmon polariton (SSPP) structures to
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44 suppress horizontal electrode modes in a broad band. The suppression
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46 principles are accounted to both the forbidden band of the fundamental
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48 SSPP mode and the orthogonality between source and higher-order SSPP
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50 modes. In the SSPP-modified PCA, we achieve around 2 dBi higher
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52 directivity in the vertical direction compared to a typical CSL PCA. Unlike
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1 the narrow bands inheriting from conventional metamaterial resonators,
2 the relative operational band of the SSPP-modified PCA is as broad as 48%.

3 This planar SSPP structure is compatible with the well-developed micro
4 fabrication technologies. Thus, our scheme can be combined with the
5 semiconductor material engineering and plasmonic nanoscale structures
6 for further increasing THz output power.

7

8 **Introduction**

9 Terahertz photoconductive antenna (PCA)[1, 2] is an important
10 device for generating ultrabroadband THz radiations, which show
11 important applications in next-generation wireless communications [3, 4],
12 non-ionized imaging [5], non-destructive detections [6], and spectroscopic
13 analysis [7, 8]. The general principle of PCAs is that the picosecond
14 carriers excited by femtosecond laser are driven by the biased voltages to
15 generate THz radiations. **Figure 1(a)** is a typical PCA, whose horizontal
16 and vertical directions are along x - and z -axis respectively. The vertical
17 radiation of the PCA is usually harnessed for various applications.
18 However, applications of THz PCAs are hindered by their low output
19 efficiencies. The low-output issue originates from two reasons, including
20 the low optical-to-THz conversion efficiency and low vertical directivity
21 induced by horizontal electrode modes in PCAs.

22 Until now, much effort has been devoted to increasing the output
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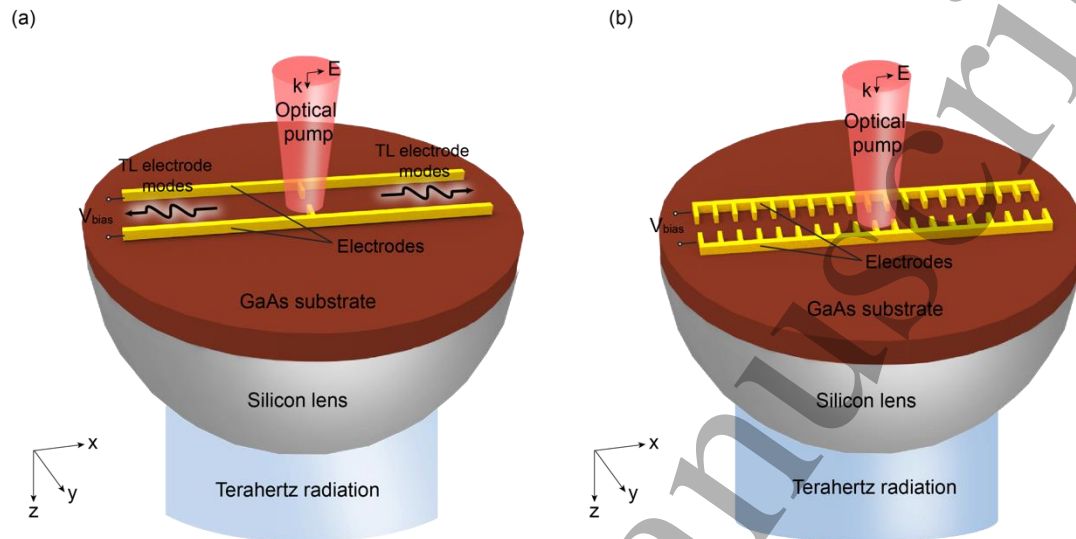
1 efficiencies of PCAs. To address the output issues, nanoscale structures[9-
2 22], the choice of semiconductor photoconductive materials via strain-
3 coupled heterostructures[23-25] and using the Ge/Rh-doped substrates[26],
4 have been introduced into PCAs. However, these schemes only increase
5 optical-to-THz conversion efficiency, but are incapable to eliminate
6 electrode modes in PCAs. Especially, the metallic electrodes, a pair of
7 coplanar strip lines (CSL), always lead to transmission-line (TL) electrode
8 modes in a broad THz band. The horizontal modes further result in the
9 reduction of vertical directivity of THz PCAs. Integrating microscale
10 metamaterial resonators with PCAs provide feasible routes to suppress the
11 TL electrode modes at specific frequencies, thus increasing directivities of
12 PCAs in narrow bands [27-32].

13 Here, we propose a scheme to increase the directivity of PCAs in a
14 broad band, by incorporating spoof surface plasmon polariton (SSPP)[33-
15 35] structures in PCAs. The SSPP structure is a subwavelength metallic
16 grating, which can host surface electromagnetic (EM) modes, analogous to
17 surface plasmon polariton (SPP) in nano optics [36-38]. Different from the
18 circuit-modeling scheme[39] improving output powers at specific
19 frequencies, the SSPP-modified electrode can enhance directivity of the
20 PCA antenna in a band of relative width 48%, and simultaneously achieve
21 around 2 dBi higher directivity in the vertical direction than that of a typical
22 CSL. This design allows for applying THz PCAs to sensing, imaging, and

1 detections.

2

3 Results



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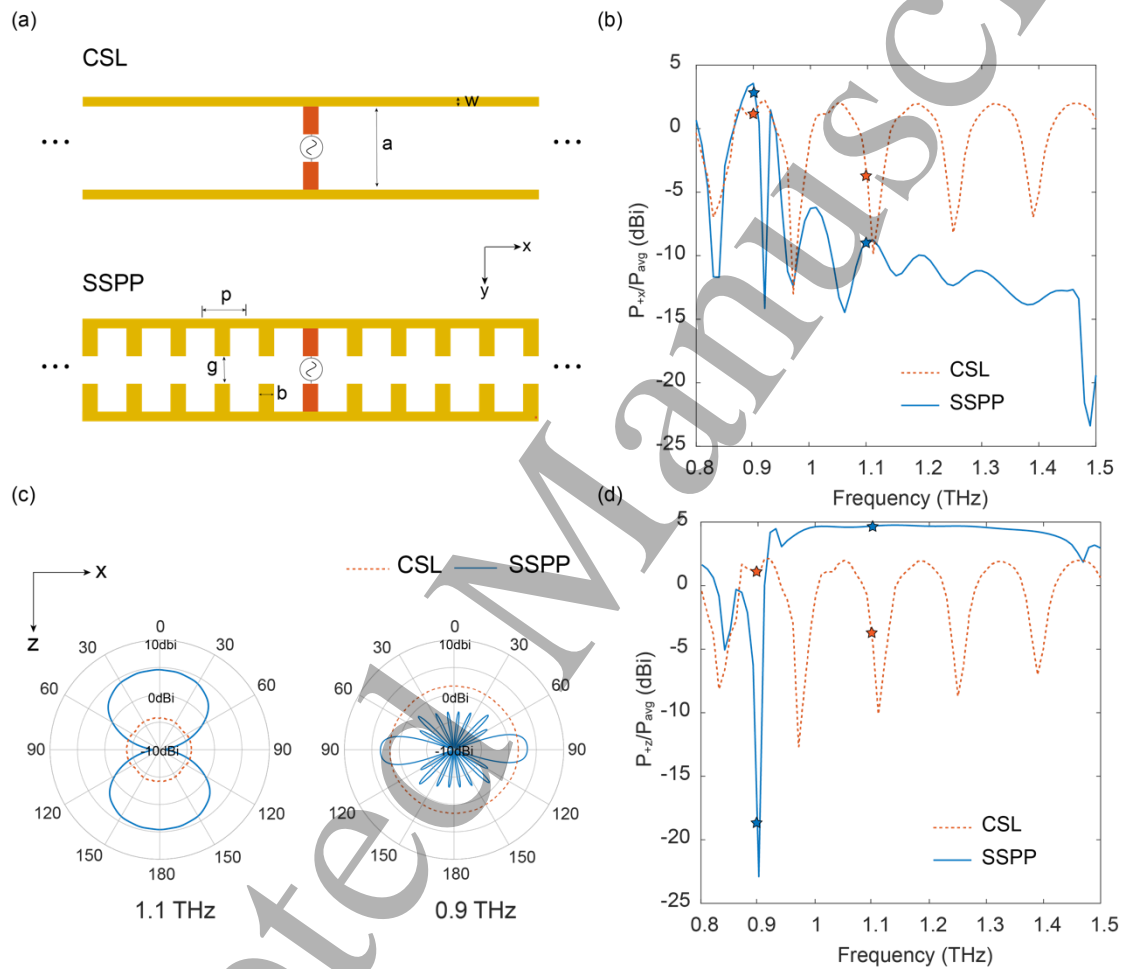
5 **Figure 1 | Schematic of a terahertz SSPP-modified PCA. (a)** a typical
6 CSL photoconductive antenna (PCA), and **(b)** a spoof surface plasmon
7 polariton (SSPP)-modified PCA.

8

9 **Figure 1** shows the schematic diagrams of a typical CSL and a SSPP-
10 modified PCAs. The typical PCA in **Fig. 1(a)** shows a CSL gold structure
11 patterned on a gallium arsenide (GaAs) substrate. The terahertz radiation
12 is generated at the center of the CSL structure, and collimated along the
13 vertical (+z) direction by a silicon lens. However, partial THz waves could
14 be guided along the CSL and form TL modes, which can travel horizontally,
15 thus reducing the THz emission along the vertical (+z) direction. To address

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1 the issue of horizontal electrode modes, we propose a SSPP-modified
 2 electrode as shown in **Figure 1(b)**. The SSPP structure is composed of
 3 periodic metallic grooves, which has been intensively utilized to guide
 4 surface electromagnetic waves [33].



6
 7 **Figure 2 | The comparison between a freestanding CSL and SSPP-**

8 **modified antenna.** (a) The structures of a typical CSL antenna and a
 9 SSPP-modified antenna. Both PCAs share the same parallel metallic strips
 10 with width $w = 10 \mu\text{m}$, separated by distance $a = 90 \mu\text{m}$. In the SSPP-
 11 modified PCA, parallel metallic strips are decorated with periodic metallic

1 patches with period $p = 105 \mu\text{m}$, width $b = 15 \mu\text{m}$, and gap $g = 15 \mu\text{m}$. **(b)**
 2 The P_{+x} / P_{avg} of both antennas. **(c)** The radiation patterns in the x - z plane
 3 of both antennas at 0.9 THz and 1.1 THz, respectively. **(d)** The directivities
 4 of both antennas. The dashed and solid lines represent results of CSL and
 5 SSPP-modified antenna respectively.

7 To further quantify the advantages of the SSPP-modified electrode,
 8 we firstly compared the radiations from both CSL and SSPP-modified
 9 antennas without substrate. Both structures are illustrated in **Figure 2(a)**.
 10 They share the same parallel metallic CSL with a set of typical parameters,
 11 as length $l = 2115 \mu\text{m}$, width $w = 10 \mu\text{m}$, and separated by distance $a = 90$
 12 μm . The parallel metallic strips in SSPP PCA are decorated by periodic
 13 metallic patches with period $p = 105 \mu\text{m}$, width $b = 15 \mu\text{m}$, and gap $g = 15$
 14 μm . The thickness along the z -direction of the patches is $0.2 \mu\text{m}$. We
 15 numerically explore the radiation performances by utilizing time-domain
 16 solver of CST Microwave Studio. In simulations, dipole sources in THz
 17 range are connected to the two metallic patches at the center of the CSL, to
 18 represent the photogenerated currents in PCAs. The boundary condition is
 19 open (add space). We employ directivity[40] $D = \max(P_{(\theta,\phi)})/P_{\text{avg}}$ to
 20 characterize the performance of PCAs, where $P_{(\theta,\phi)}$ and $P_{\text{avg}} =$
 21 $\frac{\int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} P(\theta,\phi) \sin\theta d\theta d\phi}{4\pi}$ represent the radiation power in unit solid angle and
 22 average radiation power respectively. Since the CSL are along the

horizontal (x) directions (as shown in **Figure 2(a)**), their traveling TL modes result in the horizontal leakage with power P_{+x} . By simulation, we find that the SSPP-modified antenna shows lower P_{+x}/P_{avg} than that of the CSL one in 0.93-1.5 THz. The radiation patterns at 0.9 and 1.1 THz (shown in **Figure 2(c)**) further verify that the SSPP electrode can suppress the horizontal leakage, which exist in CSL ones. Simultaneously, the vertical radiations have been increased at least 3 dBi (**Figure 2(d)**). Since both antennas show z -mirror symmetry, the radiations along $\pm z$ directions are the same.

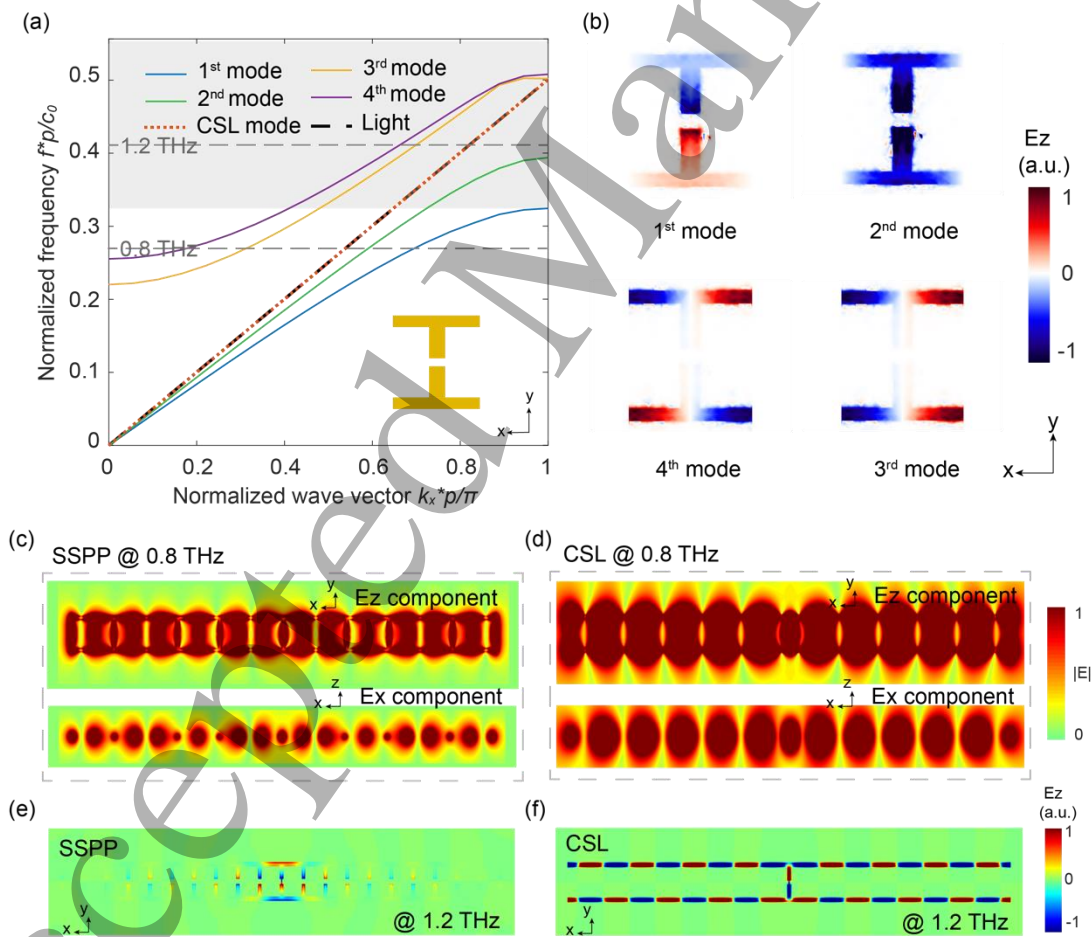


Figure 3 | The principle of suppressing the electrode modes in SSPP-

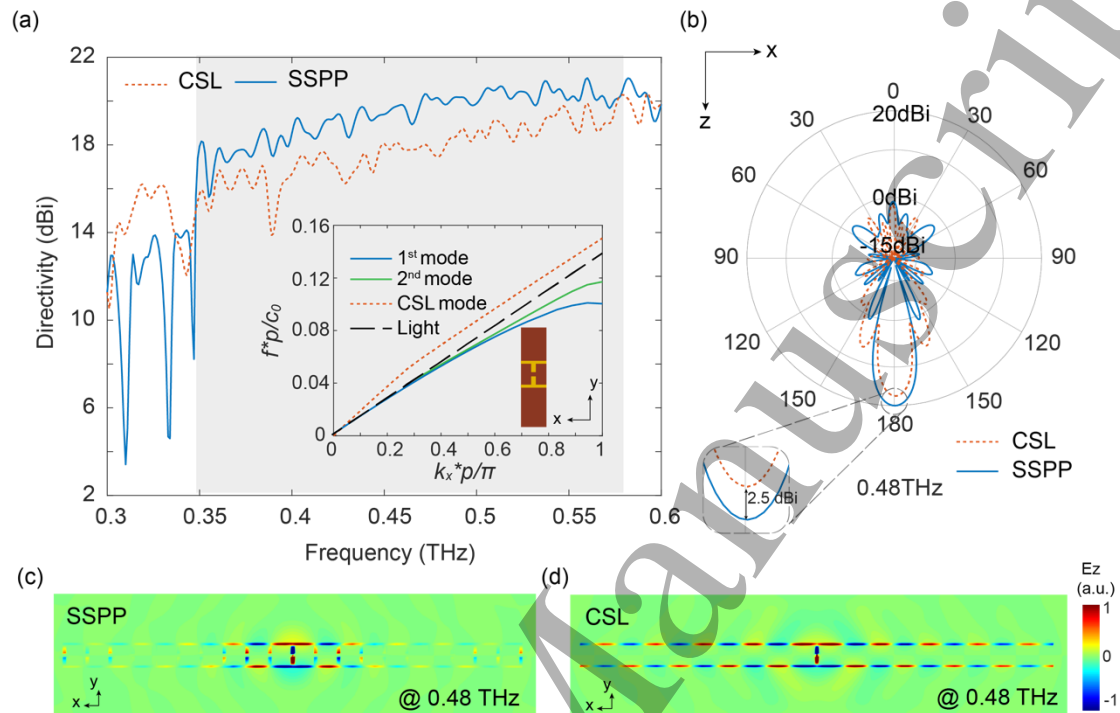
modified PCAs. (a) The simulated dispersion relations of the CSL (red

1 dotted line), light line (black dotted) SSPP structure (solid lines). The inset
2 shows the unit cell. The dashed line denotes corresponding eigenmodes at
3 1.0 THz. **(b)** E_z components for 1st, 2nd, 3rd, and 4th eigenmodes respectively.
4 **(c-d)** The field amplitude excited in the SSPP-modified(c) and the CSL(d)
5 antennas at 0.8 THz. **(e-f)** The E_z components excited in the SSPP-
6 modified(e) and the CSL(f) antennas at 1.2 THz.

7
8 We then disclose that horizontal leakages are produced by the
9 electrode modes. Here, we analyze the dispersion relations and eigenmode
10 distributions by utilizing CST Microwave Studio. The backgrounds are
11 1600 μm along the y directions, and 200 μm along the z directions. The
12 boundary conditions are periodic along the x direction, and perfect electric
13 conductors along the y and z directions. We further show the simulated field
14 patterns of the 3rd and 4th modes on the x - y (**Figure S1(c-d)** in SI) and y -
15 z planes (**Figure S1(g-h)** in SI). Obviously, the dominated components (E_z
16 and E_y) are well confined around the SSPP structure. It indicates both the
17 3rd and 4th modes originate from the SSPP structure, instead of the
18 waveguide modes induced by the boundaries of the background box.
19 However, their dispersions, lying above the light line (shown in **Figure**
20 **3(a)**), implies their leaky-wave natures. In **Figure 3(a)**, the dispersion of
21 the CSL is a straight line without cutoffs, which supports broadband
22 traveling or leaky TL modes. By integrating the SSPP structures, the

1 modified electrode supports four SSPP modes (shown in **Figure 3(a)**,
2 instead of the leaky TL modes. Interestingly, none SSPP mode is excited
3 by the y-polarized dipole in the broad band from 0.93 to 1.5 THz. This band
4 is forbidden for the 1st eigenmode, whose cutoff frequency is 0.93 THz.
5 Although 2nd, 3rd and 4th eigenmodes of SSPP all reside in between 0.93-
6 1.5 THz, they cannot be efficiently excited by the dipole source located in
7 the gap. We utilize the excitation efficiency[41] $\eta_i = \int \mathbf{E}_d^*(\mathbf{x}, \mathbf{y}, \mathbf{z}) \cdot$
8 $\mathbf{E}_{ei}(\mathbf{x}, \mathbf{y}, \mathbf{z}) d\mathbf{x}d\mathbf{y}d\mathbf{z}$ for explanation, where i represents the i^{th} eigenmode,
9 \mathbf{E}_d and \mathbf{E}_{ei} represent the normalized excitation field of the dipole and the i^{th}
10 normalized eigenmode field of the SSPP structure, respectively. Along the
11 y direction, the 2nd eigenmode shown in **Figure 3(b)** exhibits even parity,
12 orthogonal to the mode of the dipole source with y -odd parity. Similarly,
13 both excitation efficiencies of 3rd and 4th eigenmodes are vanished, since
14 their odd parities along the x direction are incompatible with the x -even
15 parity of the dipole source. Compared with the near-field patterns of CSL
16 at 0.8 THz (as shown in **Figure 3(d)**), the SSPP mode propagates along the
17 x direction and the fields are confined along both the y and z directions (as
18 shown in **Figure 3(c)**). The excited near-field patterns at 1.2 THz (as shown
19 in **Figure 3(e-f)**) further demonstrate the results of suppressing SSPP
20 electrode modes. From the symmetries of the excited SSPP mode shown in
21 **Figure 3(e)**, we can tell that the mode pattern at 1.2 THz only belongs to
22 1st eigenmode, whose propagation is forbidden along the x direction. It is

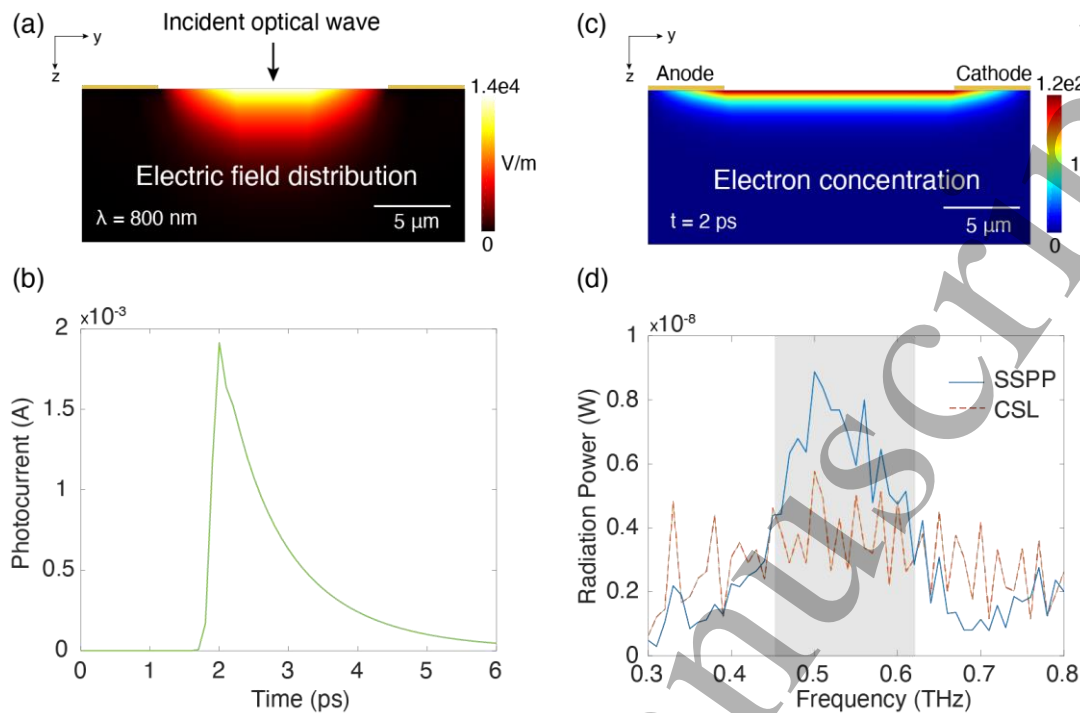
1 because that 1.2 THz falls into the band gap of 1st eigenmode. In contrast,
 2 the TL modes can propagate along the CSL (Fig. 3f), and induce horizontal
 3 leakages.



4
 5 **Figure 4 | The directivity of substrate-integrated SSPP-modified**
 6 **antenna with silicon lens. (a)** The directivities of substrate-integrated CSL
 7 (red dashed line) and SSPP (blue solid line) antennas with silicon lens. The
 8 inset shows both the dispersion relations of the substrate-integrated CSL,
 9 the light line, the 1st and 2nd eigenmodes of substrate-integrated SSPP
 10 structure, whose corresponding unit cell is also shown in the inset. **(b)** The
 11 radiation patterns in x - z plane of both antennas at 0.48 THz. **(c-d)** The near-
 12 field patterns excited at 0.48 THz in the substrate-integrated SSPP (c) and
 13 the substrate-integrated CSL antennas (d), respectively.

1 The theoretical analysis above can also be applied in the practical PCA
2 integrated with substrates. We model the GaAs substrate as a $473.7 \mu\text{m}$ -
3 thick slab with the relative permittivity $\epsilon_{\text{GaAs}} = 12.94$. A silicon lens ($\epsilon_{\text{Si}} =$
4 11.9) is also integrated for increasing the directivity of PCAs and modeled
5 as a semi sphere with the radius of $1160 \mu\text{m}$, whose focus is set at the
6 location of dipole sources. Both metallic structures remain the same as
7 above. Integrating with the substrate and lens, the SSPP-modified antenna
8 still shows about 2 dBi higher directivity (in **Figure 4(a)**) than that of the
9 CSL. Due to the high permittivity of the substrate, the operational
10 bandwidth is downshifted to the frequency range from 0.35 to 0.57 THz,
11 which is consistent with the cutoff frequency of 1st eigenmode as depicted
12 in the inset of **Figure 4(a)**. In contrast to the freestanding antennas, the
13 radiation pattern (as shown in **Figure 4(b)**) of substrate-integrated SSPP
14 antenna does not show remarkable suppression of the horizontal leakages.
15 The phenomenon of the similar horizontal leakages is because that the
16 leaky TL modes in CSL are not allowed to radiate into ambient efficiently,
17 rather than the disfunction of SSPP structures. The low outcoupling of TL
18 modes is accounted to the impedance mismatching between the air and the
19 high-permittivity substrate, which highly confines the THz waves. The
20 substrate confinement is also verified with the drastic reduction of $-z$ -
21 direction radiation. The near-field patterns in **Figure 4(c-d)** show that the
22 substrate-integrated SSPP structures can still suppress the horizontal SSPP

1 electrode modes, consistent with above freestanding case.



2
3 **Figure 5 | The process of optical-to-THz conversion. (a)** The electric
4 field distribution of the cross-section in GaAs substrate due to an incident
5 optical wave ($\lambda = 800$ nm). **(b)** The induced photocurrent in response to an
6 optical pump pulse. **(c)** The electron concentration on the cross section of
7 the substrate at 2 ps. **(d)** The comparison of the radiation power between
8 the SSPP-modified PCA and the typical PCA.

9
10 For further demonstrating the performance of SSPP-PCA, we replace
11 the excitation of dipole source with the practical photo-generated current.
12 The optical-to-THz conversion process consists of two steps, including
13 photocurrent generation excited by a femtosecond optical pulse, and
14 terahertz generation from the PCA. We numerically studied the two steps

1 with COMSOL Multiphysics and CST respectively, where Maxwell's
2 equations and drift-diffusion model are solved simultaneously. Regarding
3 the first step, the optical pulse penetrating the GaAs, generates carriers, and
4 then the electric field strength ($E = 1.33 \text{ V}/\mu\text{m}$) drives the carriers to drift
5 along the y direction, thus forming the photocurrent. We numerically study
6 this process with a two-dimensional model, whose simulation area is 10
7 $\mu\text{m} \times 25 \mu\text{m}$ on the y - z cross-section. The femtosecond optical pulse follows
8 the gaussian shape, whose temporal center and width are 2 ps and 133 fs
9 respectively. The optical pulses also have a spatial gaussian distribution
10 along the y direction, whose half-power beam width (HPBW) is $2 \mu\text{m}$.
11 Taking the center wavelength $\lambda = 800 \text{ nm}$ of the pulse, we show its optical
12 field spatial distribution on the GaAs substrate in **Figure 5(a)**. Driven by
13 the electric field strength $E = 1.33 \text{ V}/\mu\text{m}$, the photo-generated carriers form
14 transient photocurrent $I_{\text{comsol}}(t)$ as depicted in **Figure 5(b)**, which quickly
15 decays in several picoseconds. Taking the peak photocurrent at 2 ps , we
16 show the electron spatial concentration in **Figure 5(c)**, which is consistent
17 with the field distribution in **Figure 5(a)**. To numerically study the second
18 step, we decompose the obtained transient current into Fourier components,
19 whose coefficients are $A(f) = \int I_{\text{comsol}}(t) e^{-i2\pi ft} dt$. The spectral
20 response of the SSPP-PCA that is a 3D geometry is simulated in CST. The
21 output radiation power $P_{\text{THz}}(f)$ is shown in **Figure 5(d)**, which is
22 proportional to the input current $I(f)$. Compared with the typical PCA, the

1 radiation power of the SSPP-modified PCA increases around 2dB in the
 2 band 0.45-0.62 THz. We further obtain the total output radiation power
 3 with $\int A(f)P_{THz}(f)df$. The optical-to-THz conversion efficiencies of the
 4 SSPP-modified PCAs are estimated to be 8.26E-5.

5 The major parameters of optical and electrical properties in the
 6 simulation are shown in Table 1 (the other details in SI section E):

7
 8 **Table 1. Optical and electrical properties**

Symbol	Description	Unit	Value
λ	Free-space wavelength	nm	800
P	Incident power	μ W	10
t_0	Pulse center location	ps	3
D_y	Pulse HPBW	μ m	2
D_t	Pulse FWHM	fs	133
E	The electric field strength	V/ μ m	1.33
ϵ_{GaAs}	GaAs	None	12.94
k_{PC}	Photoconductor extinction coefficient of GaAs	None	0.0625

9

10 Conclusion

11 In summary, we propose a SSPP-modified electrode for increasing the
 12 directivity of THz PCAs. The underlying principle is suppressing the
 13 horizontal SSPP electrode modes. Compared with the conventional CSL
 14 PCA, we numerically demonstrate that the directivity of SSPP-modified
 15 one is increased around 2 dBi. Since the SSPP-modified electrode is planar,
 16 it is compatible the well-developed micro fabrication technologies.
 17 Meanwhile, the microscale SSPP structure could be combined with the
 18 nanoscale metamaterial structures[9-22] which may increase photocarrier
 19 concentration for further increasing the THz output power. Our proposal
 20

1 could enable rich applications in high-performance terahertz sources.

2 3 **Acknowledgements**

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9 **Competing interest**

10 The authors declare no competing interests.

11 **Data availability**

12 The data that support the findings of this study are available from the
13 corresponding author upon reasonable request.

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